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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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Sheet 1 of 1

**Complete if Known**

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|------------------------|-------------|
| Application Number     | 10/648,985  |
| Filing Date            | 08/27/03    |
| First Named Inventor   | Gary Searle |
| Art Unit               | 3762        |
| Examiner Name          |             |
| Attorney Docket Number | 03-042-GS   |

**U. S. PATENT DOCUMENTS**

| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Document Number                          | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|-----------------------|--------------------------|--|--------------------------------|--|---|
|                       |                          | Number-Kind Code <sup>2</sup> (if known) |                                |  |   |
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|                       |                          | US- 5,258,042                            | 11-02-1993                     | Mehta  |   |
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|                       |                          | US-                                      |                                |  |   |
|                       |                          | US-                                      |                                |  |   |

**FOREIGN PATENT DOCUMENTS**

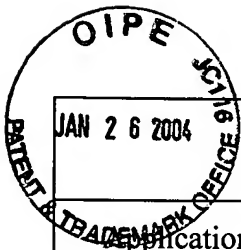
| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Foreign Patent Document   | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages<br>or Relevant Figures Appear | T <sup>6</sup> |
|-----------------------|--------------------------|---|--------------------------------|--|---|----------------|
|                       |                          | Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known) |                                |  |   |                |
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Examiner  
SignatureDate  
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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|--|--------------------------|----------------------------------|-------------------------|
| PATENT TRANSMITTAL LETTER                |                          | Attorney Docket No:<br>03-042-GS |                         |
| Application Serial Number:<br>10/648,985 | Filing Date:<br>08/27/03 | Inventor:<br>Gary Searle         | Group Art Unit:<br>3762 |

Invention: MECHANICAL OCCLUDING DEVICE

TO THE COMMISSIONER OF PATENTS AND TRADEMARKS:

Transmitted herewith is an Information Disclosure Statement by Applicant. No Fee should be necessary, but in the event any fee is necessary, the Commissioner is authorized to charge Deposit Account 12-0115.

Patrick D. Archibald Patrick D. Archibald

- ☒ Small entity status of this application under 37 CFR 1.9 and 1.27 has been established by a verified statement previously submitted.
- ☐ A verified statement to establish small entity status under 37 CFR 1.9 and 1.27 is enclosed.
- ☐ A check in the amount of \$\_\_\_\_\_ is attached.
- ☐ Charge \$\_\_\_ to Deposit Account 12-0115.
- ☒ No additional fee is required.

01/21/04  
Date

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